

# Theoretical design study on photophysical property of the organoboron quinolate derivatives

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**Abstract** The photophysical properties of organoboron quinolate derivatives can be modified readily by manipulating the coordination environment around the central boron atom. This class of compounds applied in organic light-emitting diodes (OLEDs) materials has been studied by quantum chemistry. To reveal the relationship between the structures and properties of these electroluminescent materials, the ground- and excited-state geometries were optimized at the B3LYP/6-31G(d) and CIS/6-31G(d) levels, respectively. The ionization potentials and electron affinities were computed. The mobilities of hole and electron in these compounds were studied computationally based on the Marcus electron transfer theory. The maximum absorption and emission wavelengths of these compounds were calculated using the time-dependent density functional theory method. The solvent effect on the absorption and emission wavelengths of these compounds was also considered by a polarizable continuum model. These results show that boron compounds which containing both the hydroxyquinoline/hydroxybenzoquinoline as ligand and O/S in position X follow the rule, that is, the emission shifts to longer wavelength as covalent nature of the boron–ligand bonding is increased. Meanwhile, the negative HOMO and IPs decrease but the negative LUMO and EAs increase by substitution of O with S in position X.

It was deduced that both the hole- and electron-injection abilities are improved by substituting S in place of O in position X. After chemical modification in position  $R_2$  with electron-donating properties of  $\text{NH}_2$  or 1,4-diethynyl-2,5-dihexyloxybenzene, introduced 1,4-diethynyl-2,5-dihexyloxybenzene improves both the hole- and electron-transfer rate, which leads to better equilibrium property. It can be concluded that the better equilibrium property depends on the conjugated length of side chain in position  $R_2$ . Moreover, exchanging the substituents  $R_1$  and  $R_2$  in **BNO1a** and **BNO1'a** can slightly change the hole-transfer rate by 0.04 eV. According to these calculations, series **BNO** and **BNS** can be applied as electron transport and hole transport materials at the same time. Specially, series **BNO2** and **BNS** have better performance than  $\text{Mes}_2\text{B}[\text{p-4,4' -biphenyl-NPh(1-naphthyl)}]$  (BNPB) in both the hole- and electron-injection ability.

**Keywords** Electronic structures · IP · EA · Optical properties

## 1 Introduction

Since the discovery of a tris(8-hydroxyquinolino)aluminum ( $\text{Alq}_3$ )-based multilayer thin-film device by Tang et al. [1], much progress has been made in organic electroluminescent (EL) devices. This phenomenon is attributed largely to the continuing discovery of new and improved EL materials. Most noteworthy was using the bis(10-hydroxybenzo[h]quinolino) beryllium complex ( $\text{BeBq}_2$ ) as an emitting material exhibits higher performance in EL properties than  $\text{Alq}_3$ , which was synthesized by Hamada et al. in 1993 [2]. Later, Wang et al. revealed that boron chelates in general are more stable than the corresponding

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aluminum chelates [3]. And these boron chelates exhibited various interesting properties due to the high electron affinity of boron atoms, strong fluorescence emission, good stability and volatility, easily gave a thin film, and third-order nonlinear optical properties, etc. Therefore, organoboron chelating ligands have received wide attention today [4–8]. Because of these unique properties, it is expected to design and synthesis of novel organoboron-based chelates materials with excellent optical and electrical properties. Theoretical study provides an essential role in developing materials [9, 10]. As in many recent publications in theoretical studies on organoboron chelates, much of what is mainly related to attachment of various substituents to the 8-hydroxyquinoline ligand. One of them achieved substitution at the 5 and the 7 positions of the quinolinolate were reported by our group. It is suggested that the styryl spacer between the quinoline ring and substituted phenyl ring extends the  $\pi$ -conjugated network, thus tuning the red-shift of absorption and emission spectra [11]. In this study, we continue to focus on the microscopic mechanism of the luminescent properties for small boron chelates based on hydroxyquinoline, series **a** in Fig. 1, and finding an effective way to tune their optoelectronic properties.

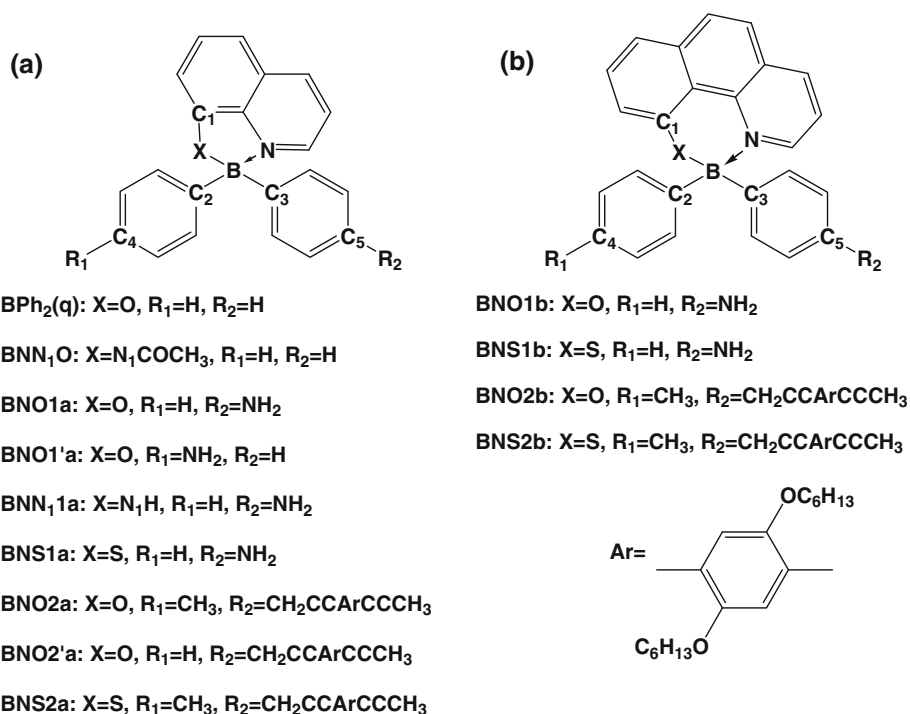
However, owing to BeBq<sub>2</sub> showing excellent electron transport characteristic with high electron mobility, we introduce hydroxybenzoquinoline group to the boron center (named series **b**). It is known in metal chelates of 8-hydroxyquinoline that as the covalent nature of the metal–ligand bonding is increased, the emission shifts to longer wavelength [12]. Chujo et al. revealed that

the low-molecular-mass organoboron quinoline-8-thiolate and -selenolate complexes are following this rule [4]. Although it can be concluded that series **a** will follow this rule after position X is substituted with O or S, the application of this rule to series **b** has not yet been investigated. Meanwhile, how to influence the color of fluorescent and the abilities of charge injection/transport via tuning position X with O, N or S and position R<sub>2</sub> with NH<sub>2</sub> or 1,4-diethynyl-2,5-dihexyloxybenzene and reveal which is the more sensitive site between R<sub>1</sub> and R<sub>2</sub> will be discussed in detail in this work.

## 2 Computation methods

To rationalize the experimentally observed properties of known materials and to predict those of unknown ones, theoretical investigations on the structures, electronic spectra, and emissive properties of these materials are indispensable, especially of some parameters, such as the ionization potential (IP), electron affinity (EA), and reorganization energies ( $\lambda$ ), which are difficult to be obtained from experiments. Thus, we apply the density functional theory (DFT), configuration interaction with single excitations (CIS), and time-dependent DFT (TD-DFT) methods to investigate the geometrical structures and optical properties of these compounds such as the highest occupied orbital energies (HOMO), the lowest virtual orbital energies (LUMO),  $\Delta_{H-L}$  (the energies difference between the HOMO and LUMO), IPs, EAs,  $\lambda$ , and the absorption and

**Fig. 1** Sketch map of the structures for the studied systems



**Table 1** Selected important bond lengths (Å), bond angles (°) and dihedral angles (°) of these compounds obtained by B3LYP/6-31G(d) calculations

	<b>BPh<sub>2</sub>(q)</b>	<b>BNN<sub>1</sub>O</b>	<b>BNO1a</b>	<b>BNO1'a</b>	<b>BNN<sub>1</sub>a</b>	<b>BNO1b</b>	<b>BNO2a</b>	<b>BNO2'a</b>	<b>BNO2b</b>	<b>BNS1a</b>	<b>BNS1b</b>	<b>BNS2a</b>	<b>BNS2b</b>
C <sub>1</sub> –X(O/N <sub>1</sub> /S)	1.325	1.393	1.324	1.324	1.351	1.330	1.325	1.326	1.332	1.744	1.757	1.745	1.758
X(O/N <sub>1</sub> /S)–B	1.530	1.598/1.587 <sup>a</sup>	1.533	1.534	1.569	1.491	1.530	1.529	1.487	2.013	1.942	2.006	1.939
B–N	1.658	1.637/1.619 <sup>a</sup>	1.662	1.664	1.649	1.659	1.658	1.656	1.658	1.628	1.646	1.625	1.643
B–C <sub>2</sub>	1.612	1.624/1.606 <sup>a</sup>	1.615	1.607	1.622	1.617	1.609	1.611	1.614	1.619	1.622	1.614	1.620
B–C <sub>3</sub>	1.614	1.625/1.611 <sup>a</sup>	1.605	1.613	1.619	1.621	1.614	1.614	1.626	1.609	1.619	1.614	1.623
C <sub>4</sub> –R <sub>1</sub>	1.087	1.087	1.087	1.402	1.087	1.088	1.511	1.087	1.511	1.087	1.087	1.511	1.511
C <sub>5</sub> –R <sub>2</sub>	1.087	1.087	1.402	1.087	1.403	1.404	1.423	1.423	1.423	1.402	1.404	1.423	1.423
C <sub>1</sub> –X(O/N <sub>1</sub> /S)–B	111.57	111.46	111.68	111.74	113.86	120.98	111.56	111.51	121.02	93.26	99.26	93.04	99.28
X(O/N <sub>1</sub> /S)–B–C <sub>2</sub>	111.35	113.38/113.37 <sup>a</sup>	110.73	111.70	113.63	108.14	111.45	111.49	108.50	112.65	106.38	113.16	106.77
X(O/N <sub>1</sub> /S)–B–N	97.84	96.16/96.07 <sup>a</sup>	97.60	97.51	95.25	105.14	97.86	97.94	105.24	98.68	104.11	98.96	104.34
N–B–C <sub>3</sub>	109.85	108.12/107.68 <sup>a</sup>	108.41	107.77	110.07	107.16	109.74	109.92	106.98	109.80	106.79	109.70	107.03
C <sub>2</sub> –B–C <sub>3</sub>	117.10	117.61/114.9 <sup>a</sup>	117.27	117.44	115.75	115.96	117.07	116.86	115.49	116.63	115.31	116.34	114.70
N–B–C <sub>2</sub> –X(O/N <sub>1</sub> /S)	106.33	104.17	106.53	106.90	104.15	113.66	106.52	106.58	114.15	107.99	112.56	108.93	113.15
N–B–C <sub>3</sub> –X(O/N <sub>1</sub> /S)	107.05	105.16	106.29	105.63	104.81	114.36	106.91	107.13	114.32	107.28	113.83	107.09	114.03
C <sub>1</sub> –X(O/N <sub>1</sub> /S)–B–N	0.50	2.96	0.69	1.04	3.39	43.01	0.95	0.98	42.86	4.05	50.99	6.65	50.80

<sup>a</sup> The experimental values were subjected to X-ray diffraction study [5]

emission spectra are obtained and discussed in detail. The polarizable continuum model (PCM) was used in calculation of the absorption and emission spectra in CH<sub>2</sub>Cl<sub>2</sub>. All calculations of the studied organoboron quinolate derivatives in this work have been performed on the SGI origin 2000 server using the Gaussian03 program package [13]. And all the calculations were performed using the 6-31G(d) basis set.

### 3 Results and discussion

#### 3.1 Geometry optimization

The sketch map of the structures is depicted in Fig. 1. From Fig. 1, it was found that the hydroxyquinoline group in system **a** is chelated to the boron to form a five-membered chelate ring. And the hydroxybenzoquinoline group in system **b** is chelated to the boron in the same manner to form a six-membered chelate ring. This difference between five- and six-membered chelate ring will cause dramatic difference in properties of the studied compounds. Furthermore, the nature of our studied chelates is also dominated by position X or R<sub>2</sub>.

To provide an insight into the structure–property relationship of these compounds, we optimized the neutral ground-state structures at the DFT//B3LYP/6-31G(d) level of theory [14]. Selected important bond lengths, bond angles, and dihedral angles are listed in Table 1, compared with data from experimental values. As shown in Table 1, these results are in good agreement with experimental values. This indicates that our adopted method is feasible

to the studied systems. Comparison has been made between systems **1** and **2**. The substitution of R<sub>2</sub> with the group of 1,4-diethynyl-2,5-dihexyloxybenzene leads to a decrease in the B–N bond length (from 0.001 to 0.004 Å) for system **2** compared with system **1**, which might increase the chelating ability. It is because that the coordination bond plays an important role in the stability of the chelate, which is usual to initially determine the chelating ability. By substitution of O with N in position X, the bond length of B–N in both **BNN<sub>1</sub>O** and **BNN<sub>1</sub>a** is shortened relative to that in **BPh<sub>2</sub>(q)** and **BNO1a**. Upon decreasing atomic electronegativity in position X, the B–N bond length of **BNO1a**, **BNN<sub>1</sub>a** and **BNS1a** is gradually shortened. Comparison of the chelates formed with O or S, the bond length of B–N in series **BNS** is shorter (from 0.013 to 0.034 Å) than that in series **BNO**. These results suggested that the shortened bond length of B–N probably enhances the ability of chelating, which will benefit the ability of charge injection. Furthermore, previous studies have reported that as the covalent nature of the metal–ligand bonding (primarily metal–nitrogen) is increased, the emission shifts to longer wavelength [12]. Features are similar in some respects between boron chelates and metal chelates. We predict that the emissions in series **BNS** are red-shifted compared with that of series **BNO**. Meanwhile, there are other significant changes that occur in the geometries as a result of replacing the hydroxyquinoline group and O atom with hydroxybenzoquinoline group and S atom. Compared to system **a**, there are both bigger bond angle (X–B–N) and dihedral angle (C<sub>1</sub>–X–B–N) in system **b** owing to the hydroxybenzoquinoline group. This result enhances the steric hindrance around the central B atom. The strength of B–N

bond in series **BNSb** is weakened obviously by the steric hindrance, which is assisted by the presence of steric hindrance in  $Mq_3$  ( $M = Al, Ga, In$ ) chelates for effecting change in the  $M-N$  bond [12]. Because of similar features with metal ( $Al, Ga, In$ ) chelates, it indicates that the emission spectra will be red-shifted in series **BNSa** relative to that of series **BNSb**. The  $sp^3$  orbital-hybridized boron centers of series **b** appear as a distorted tetrahedron with dihedral angle of  $C_1-X-B-N > 42^\circ$ , but series **a** has better syn-planar conformation ( $C_1-X-B-N < 7^\circ$ ). It can be concluded that series **a** shows better fluorescence properties. Otherwise, there are slight differences in the structures between **BNO1a** and **BNO1'a**, and **BNO2a** and **BNO2'a**. The bond length of  $B-N$  in **BNS2a** is shorter than others, implying that **BNS2a** has better charge-injection ability than others. Interestingly, the  $B-N$  bond length of **BNO2a** is equal to that of **BNO2b**.

### 3.2 Frontier molecular orbitals

Since the relative ordering of the occupied and virtual orbitals provides a reasonable qualitative indication of the excitation properties [15] and of the ability of electron or hole transport, it is important to analyze the HOMOs and the LUMOs for these compounds. In this section, the HOMOs and LUMOs of these compounds are investigated at B3LYP/6-31G(d) level, and the orbital plots are present in Fig. 2. As shown in Fig. 2, these molecules display a tetrahedral structure, typical of boron compounds. The hydroxyquinoline group has the largest contribution to both the HOMO and LUMO in **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O** without any substitute in position  $R_1$  or  $R_2$ . So, the chelating ability of **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O** will have influence on both the hole- and electron-injection ability. Following the order of **BNN<sub>1</sub>1a**, **BNS1a** and **BNO1a**, the HOMO level in **BNN<sub>1</sub>1a**, **BNS1a** and **BNO1a** will be gradually lower with the smaller HOMO contributions. Furthermore, the HOMO and LUMO contributions in series **BNO** and **BNS** are mainly located on the electron-rich  $R_2$  group and the electron-deficient hydroxyquinoline or hydroxybenzoquinoline group, respectively. The energy transfer from the  $\pi$ -conjugated linkers in the main chain to the quinoline ligands on the boron centers is attributed to the electron push–pull effect of substitutes. Replacing O with S in series **BNS** has larger contributions to both the HOMO and LUMO than that in series **BNO**, which can improve the hole- and electron-injection ability.

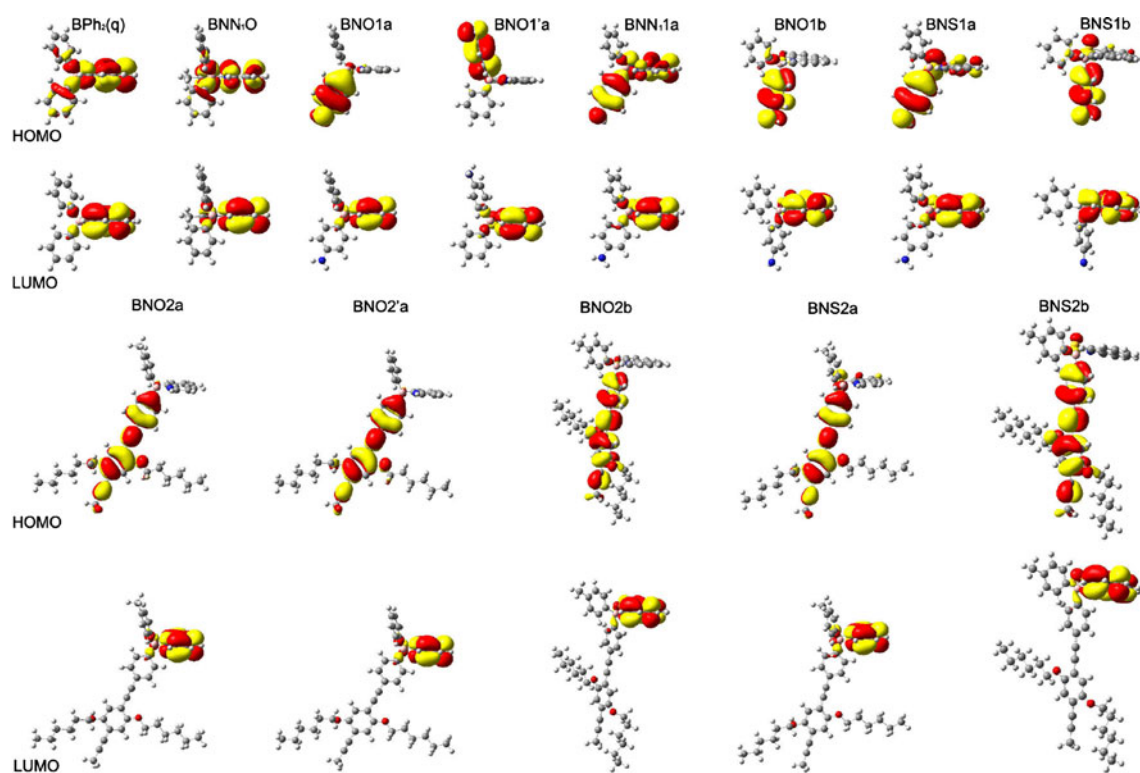
The HOMO and LUMO energies are experimentally estimated from an empirical formula proposed by Brédas et al. [16] based on the onset of the oxidation and reduction peaks measured by cyclic voltammetry [17]. The hole and electron injection of the materials is usually estimated by the values of HOMO and LUMO, in accord with the work

function values of cathode and anode. The hole transport material (HTM) with the smaller negative value of HOMO should lose their electrons more easily, while the electron transport material (ETM) with larger negative value of LUMO should accept electrons more easily. The HOMO and LUMO energies were calculated by DFT in this study. The HOMO and LUMO energies and energy difference  $\Delta_{H-L}$ s of these compounds are listed in Table 2.

It can be seen from Table 2 that the HOMO levels of these compounds match well with the work function of the Indium Tin Oxides (ITO; from  $-4.8$  to  $-5.1$  eV) [18], except **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O**. This implies that these compounds are promising as HTM, which depends on the side chain of position R with electron-donating substitution. Furthermore, organoboron quinolate derivatives were usually used to act as ETM. According to the LUMO values, our studied compounds exhibit more excellent ET properties than that of  $Alq_3$  (LUMO,  $-1.81$  eV), one of most widely used electron transport materials [1, 19]. Therefore, series **BNO** and **BNS** and **BNN<sub>1</sub>1a** may act as bifunctional (HT and ET) molecular OLEDs. Among them, the hole- and electron-injection ability in **BNN<sub>1</sub>1a** is higher ( $-4.88$  eV) and lower ( $-1.87$  eV) than others, respectively.

Comparison of these HOMO and LUMO values, **BNN<sub>1</sub>O** has better hole- and electron-injection ability than that of **BPh<sub>2</sub>(q)**. The hole-injection ability is reduced gradually in the order of **BNN<sub>1</sub>1a**, **BNS1a** and **BNO1a**. Both the hole- and electron-injection ability of series **BNS** are enhanced after replacing O with S in position X. These results are consistent with the prediction made by the density distribution. Beside these results, series **b** with hydroxybenzoquinoline group greatly enhances the hole-injection ability but almost no effects on electron-injection ability. Conversely, the lengthened conjugation of position  $R_2$  in series **2** improves electron-injection ability effectively but the hole-injection ability slightly. It is indicating that the extended coplanar conjugated conformation and the lengthened conjugation of position  $R_2$  can improve the hole- and electron-injection ability, respectively. Thus, it can be obtained a wide variety of useful OLEDs via tuning the substitution in different position. In addition, compared the HOMO and LUMO values of **BNO1a** and **BNO2a** with **BNO1'a** and **BNO2'a**, there are minor changes in HOMO and LUMO due to the same substitution in different position and adding a methyl in position  $R_1$ , respectively. This result confirms that the prediction from the ground-state geometries is discussed earlier.

Noticeably, the energy gap of series **BNS** becomes narrow after chemical modification of O with S, leading to the emission wavelengths red-shifted. The narrower energy gap in series **BNS** is easier to form excitons than that of series **BNO**.



**Fig. 2** Electronic density contours of the frontier orbitals for these compounds

**Table 2** Negative value of the HOMO ( $-\varepsilon_{\text{HOMO}}$ ) and LUMO ( $-\varepsilon_{\text{LUMO}}$ ) energies, and HOMO-LUMO gaps calculated by B3LYP/6-31G(d)

	BPh <sub>2</sub> (q)	BNN <sub>1</sub> O	BNO <sub>1</sub> a	BNO <sub>1</sub> 'a	BNN <sub>1</sub> ,1a	BNO <sub>1</sub> b	BNO <sub>2</sub> a	BNO <sub>2</sub> 'a	BNO <sub>2</sub> b	BNS <sub>1</sub> a	BNS <sub>1</sub> b	BNS <sub>2</sub> a	BNS <sub>2</sub> b
$-\varepsilon_{\text{HOMO}}$	5.73	5.65	5.07	5.06	4.88	4.93	5.01	5.02	4.94	4.95	4.91	4.96	4.92
$-\varepsilon_{\text{LUMO}}$	2.21	2.30	2.11	2.12	1.87	2.13	2.22	2.25	2.22	2.29	2.19	2.41	2.27
$\Delta_{\text{H-L}}$	3.52	3.35	2.96	2.94	3.01	2.80	2.79	2.77	2.72	2.66	2.72	2.55	2.65

Unit: eV

### 3.3 Ionization potentials and electron affinities

The adequate and balanced transport of both injected electrons and holes are important in optimizing the performance of OLED devices. The ionization potential (IP) and electron affinity (EA) are well-defined properties that can be calculated by DFT to estimate the energy barrier for the injection of both holes and electrons into the compounds. Table 3 contains the calculated ionization potentials (IPs), electron affinities (EAs), both vertical (v; at the geometry of the neutral molecule) and adiabatic (a; optimized structure for both the neutral and charged molecule), and the extraction potentials (HEP and EEP for the hole and electron, respectively) that refer to the geometry of the ions [20, 21].

One general challenge for the application of small molecules in OLEDs is achievement of high EA-conjugated molecules for improving the electron injection/transport and low IP-conjugated molecules for better hole

injection/transport in electronic devices. For an electroluminescent material, the lower the IP of the hole transport layer (HTL) itself, the easier will be the injection of holes from ITO to HTL, the higher the EA of the electron transport layer (ETL) itself, and easier the injection of electrons from cathode to ETL.

From Table 3, it can be seen that the IP(a) and EA(a) values of series **b**, **2**, and **BNS** are lower and higher than that of series **a**, **1**, and **BNO**, respectively. This implies that both the hole- and electron-injection abilities are improved by the extended coplanar conjugated conformation, the lengthened conjugation of position  $R_2$  and the enhanced electron-withdrawing in position X. Although it has been experimentally proved that 1,6-bis(2-hydroxyphenyl)pyridine boron bis(4-n-butyl-phenyl)phenyleneamine ((dppy)BTPA) (IP, 5.69 eV; EA, 0.80 eV) and BNPB (IP, 6.09 eV; EA, 0.74 eV) are good trifunctional molecules [22–25], the IP(a) and EA(a) values of series **BNO** and **BNS** are close to that of (dppy)BTPA and BNPB,



**Table 3** Ionization potentials, electron affinities, extraction potentials and reorganization energies for each molecule

	IP(v)	IP(a)	HEP	EA(v)	EA(a)	EEP	$\lambda_{\text{hole}}$	$\lambda_{\text{electron}}$
<b>BPh<sub>2</sub>(q)</b>	7.22	7.12	7.00	0.58	0.79	0.99	0.22	0.41
<b>BNN<sub>1</sub>O</b>	7.11	6.97	6.81	0.74	0.94	1.13	0.30	0.39
<b>BNO1a</b>	6.68	6.35	5.98	0.48	0.71	0.92	0.70	0.44
<b>BNO1'a</b>	6.72	6.34	5.98	0.49	0.72	0.93	0.74	0.44
<b>BNO1b</b>	6.54	6.23	5.98	0.62	0.83	1.02	0.56	0.40
<b>BNO2a</b>	6.21	6.04	5.86	0.76	0.92	1.08	0.35	0.32
<b>BNO2'a</b>	6.23	6.07	5.89	0.77	0.93	1.10	0.34	0.33
<b>BNO2b</b>	6.13	5.99	5.83	0.83	0.98	1.15	0.30	0.32
<b>BNS1a</b>	6.40	6.10	5.77	0.73	0.92	1.11	0.63	0.38
<b>BNS1b</b>	6.37	6.01	5.65	0.73	0.92	1.10	0.72	0.37
<b>BNS2a</b>	6.07	5.94	5.79	0.96	1.11	1.27	0.28	0.31
<b>BNS2b</b>	6.06	5.90	5.73	0.89	1.06	1.25	0.33	0.36

Unit: eV

showing these compounds can be applied as both the HTL and ETL materials. According to the values of IP(a) and EA(a), series **BNO2** and **BNS** are easier to accept a hole and an electron than that of **BNPB**, implying that they exhibit more excellent properties as hole- and electron-injection materials than **BNPB**. In addition, the IP(a) and EA(a) values of **BNO1a** is 0.01 eV lower and higher than that of **BNO1'a**, respectively. The change trend of IP(a) and EA(a) for **BNO1a** and **BNO1'a** is equal to their negative of HOMO and LUMO energies. The IP(a) value of **BNO2a** with methyl in position  $R_1$  is 0.03 eV lower than that of **BNO2'a**. It suggests that the methyl group in position  $R_1$  can slightly improve the hole-injection ability. Therefore, series **2** with methyl group in position  $R_1$  is further studied in the following section.

At the microscopic level, the charge-transport mechanism in thin film can be described as a self-exchange transfer process, in which an electron or hole transfer occurs from one charged molecule to an adjacent neutral molecule. The rate of intermolecular charge transfer ( $k_{\text{et}}$ ) can be estimated from Marcus theory [26–28] given in

$$k_{\text{et}} = A \exp \left[ \frac{-\lambda}{4k_b T} \right] \quad (1)$$

where  $T$  is the temperature,  $A$  is a prefactor related to the electronic coupling between adjacent molecules,  $\lambda$  is the reorganization energy and  $k_b$  is the Boltzmann constant. It will be seen that variations in the reorganization energies, which are exponential component (Eq. 1), dominate the changes in overall carrier transfer rates as the molecular structures are varied. For efficient charge transport, the reorganization energy requires to be small. At this stage, our discussion focuses on the reorganization energy.

The  $\lambda$  value is generally determined by fast changes in molecular geometry (the inner reorganization energy  $\lambda_i$ ) and by slow variations in solvent polarization of the surrounding medium (the external contribution  $\lambda_e$ ). In the case of LEDs (there are condensed-state systems), however, the latter contribution can be neglected, so that the former becomes the dominant factor. The inner reorganization energy  $\lambda_i$  for hole transfer can be expressed as follows:

$$\lambda_{\text{hole}} = \lambda_0 + \lambda_+ = (E_0^* - E_0) + (E_+^* - E_+) \quad (2)$$

where  $E_0$  and  $E_+$  represent the energies of the neutral and cation species in their lowest energy geometries, respectively, while  $E_0^*$  and  $E_+^*$  represent the energies of the neutral and cation species with the geometries of the cation and neutral species, respectively. In this way,  $\lambda_{\text{electron}}$  for electron transfer can be expressed as follows:

$$\lambda_{\text{electron}} = \lambda_0 + \lambda_- = (E_0^* - E_0) + (E_-^* - E_-) \quad (3)$$

The calculated  $\lambda_{\text{hole}}$  and  $\lambda_{\text{electron}}$  are also listed in Table 3. As emitting-layer materials, they need to achieve balance between hole injection and electron acceptance. Furthermore, the lower the  $\lambda$  value, the higher the charge-transport rate. The data (Table 3) show that the difference between the  $\lambda_{\text{hole}}$  and  $\lambda_{\text{electron}}$  for series **2** is less than 0.03 eV, implying that they can act as the emitter with relatively high light emitting efficiencies. So, series **2** has better transport equilibrium property than series **1**, which depends on the length of side chain. Exchanging the substituents  $R_1$  and  $R_2$  in **BNO1a** and **BNO1'a**, the  $\lambda_{\text{hole}}$  value of **BNO1a** is 0.04 eV lower than that of **BNO1'a**. This suggests that the hole-transfer rate is improved by position  $R_2$  with  $\text{NH}_2$ . However, series **BNOb** with the increasing conjugated coplanar has better hole-transfer rate than that of series **BNOa**. Series **BNSa** with the increasing conjugated coplanar has better hole-transfer rate than that of series **BNSb**. It concludes that the hole-transfer rate in these compounds depend not only on the size of the coplanar conjugated conformation but also on the nature of the position X. Interestingly, both the  $\lambda_{\text{electron}}$  and LUMO values of **BNO2a** are equal to that of **BNO2b**. The  $\lambda_{\text{electron}}$  and LUMO and EA(a) values of **BNS2a** are smaller and higher than other compounds of our studied, respectively.

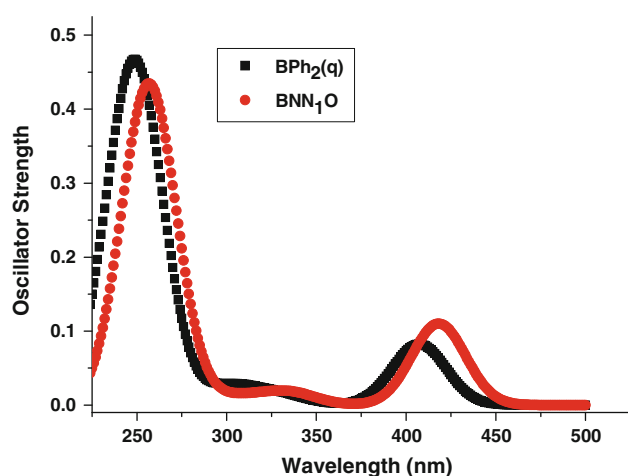
### 3.4 Absorption spectra and emission spectra

The transition energies, absorption spectra, oscillator strengths and main configurations for the most relevant singlet excited states in each molecule are listed in Table 4, accompanying with the experimental results. As shown in Table 4,  $S_0 \rightarrow S_1$  absorption peaks come from HOMO  $\rightarrow$  LUMO  $\pi\pi^*$  excitation. However, the strongest absorption peaks with the largest oscillator strength for these chelated

**Table 4** Absorption spectra obtained by TD-DFT method for these molecules at the B3LYP/6-31G(d) optimized geometries

	Electronic transitions	$\lambda_{\text{max}}^{\text{abs}}$ (nm)	$f$	Excitation energies (eV)	Main configurations	
<b>BPh<sub>2</sub>(q)</b>	$S_0 \rightarrow S_1$	426.2/395 <sup>a</sup>	0.0605	2.91	HOMO $\rightarrow$ LUMO	0.66
	$S_0 \rightarrow S_1$	407.3 <sup>b</sup>	0.0824	3.04	HOMO $\rightarrow$ LUMO	0.66
	$S_0 \rightarrow S_{12}$	245.1/242 <sup>a</sup>	0.2460	5.06	HOMO-5 $\rightarrow$ LUMO	0.41
		HOMO-6 $\rightarrow$ LUMO	-0.33			
		HOMO $\rightarrow$ LUMO + 1	0.21			
		HOMO $\rightarrow$ LUMO + 2	-0.21			
		HOMO-8 $\rightarrow$ LUMO + 1	0.14			
HOMO-2 $\rightarrow$ LUMO + 1	0.12					
<b>BNN<sub>1</sub>O</b>	$S_0 \rightarrow S_1$	449.0/401 <sup>a</sup>	0.0735	2.76	HOMO $\rightarrow$ LUMO	0.66
	$S_0 \rightarrow S_1$	418.2 <sup>b</sup>	0.1102	2.96	HOMO $\rightarrow$ LUMO	0.66
	$S_0 \rightarrow S_{12}$	256.2	0.1113	4.84	HOMO $\rightarrow$ LUMO + 2	0.41
		HOMO-4 $\rightarrow$ LUMO + 1	-0.32			
		HOMO-6 $\rightarrow$ LUMO	-0.23			
		HOMO-1 $\rightarrow$ LUMO + 1	0.22			
		HOMO-3 $\rightarrow$ LUMO + 1	0.18			
HOMO $\rightarrow$ LUMO + 3	0.10					
<b>BNO1a</b>	$S_0 \rightarrow S_1$	514.2	0.0012	2.41	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_{10}$	261.6	0.0850	4.69	HOMO-6 $\rightarrow$ LUMO	0.56
		HOMO-5 $\rightarrow$ LUMO	-0.31			
HOMO $\rightarrow$ LUMO + 2	-0.16					
<b>BNO1'a</b>	$S_0 \rightarrow S_1$	517.4	0.0100	2.40	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_{10}$	263.0	0.0790	4.72	HOMO-6 $\rightarrow$ LUMO	0.52
		HOMO-5 $\rightarrow$ LUMO	-0.38			
		HOMO-3 $\rightarrow$ LUMO + 1	0.17			
HOMO $\rightarrow$ LUMO + 2	-0.12					
<b>BNO1b</b>	$S_0 \rightarrow S_1$	550.7	0.0022	2.25	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_8$	313.4	0.0717	3.96	HOMO-5 $\rightarrow$ LUMO	0.63
		HOMO-1 $\rightarrow$ LUMO + 1	-0.17			
<b>BNO2a</b>	$S_0 \rightarrow S_1$	514.4	0.0026	2.41	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_6$	351.7	1.2883	3.53	HOMO $\rightarrow$ LUMO + 1	0.63
		HOMO $\rightarrow$ LUMO + 2	0.11			
<b>BNO2'a</b>	$S_0 \rightarrow S_1$	517.7	0.0032	2.40	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_6$	351.6	1.2448	3.53	HOMO $\rightarrow$ LUMO + 1	0.63
		HOMO $\rightarrow$ LUMO + 2	0.13			
<b>BNO2b</b>	$S_0 \rightarrow S_1$	530.2	0.0061	2.34	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_7$	354.3	0.7271	3.50	HOMO-1 $\rightarrow$ LUMO + 1	0.48
		HOMO $\rightarrow$ LUMO + 2	0.44			
		HOMO-8 $\rightarrow$ LUMO	0.11			
<b>BNS1a</b>	$S_0 \rightarrow S_1$	586.9	0.0219	2.11	HOMO $\rightarrow$ LUMO	0.69
	$S_0 \rightarrow S_2$	491.5	0.0425	2.52	HOMO-1 $\rightarrow$ LUMO	0.67
<b>BNS1b</b>	$S_0 \rightarrow S_1$	578.7	0.0019	2.14	HOMO $\rightarrow$ LUMO	0.70
	$S_0 \rightarrow S_2$	483.5	0.0370	2.56	HOMO-1 $\rightarrow$ LUMO	0.67
<b>BNS2a</b>	$S_0 \rightarrow S_1$	575.7	0.0316	2.15	HOMO $\rightarrow$ LUMO	0.69
	$S_0 \rightarrow S_8$	353.9	1.0365	3.50	HOMO $\rightarrow$ LUMO + 2	0.55
		HOMO $\rightarrow$ LUMO + 1	-0.31			
HOMO-1 $\rightarrow$ LUMO + 2	0.16					
<b>BNS2b</b>	$S_0 \rightarrow S_1$	552.8	0.0023	2.24	HOMO $\rightarrow$ LUMO	0.69
	$S_0 \rightarrow S_8$	354.9	1.3513	3.49	HOMO-1 $\rightarrow$ LUMO	-0.12
		HOMO $\rightarrow$ LUMO + 2	0.64			

<sup>a</sup> Measured in CH<sub>2</sub>Cl<sub>2</sub> [5, 8]<sup>b</sup> Calculated in CH<sub>2</sub>Cl<sub>2</sub> by PCM at the B3LYP/6-31G(d) level



**Fig. 3** Simulated absorption spectra of **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O** in  $\text{CH}_2\text{Cl}_2$  with the calculated data at the TDDFT/B3LYP/6-31G(d) level

complexes are from the inner electronic transition. It was very similar to our previous study, which bound to the central B atom through N and O atoms [23]. The  $\lambda_{\text{max}}^{\text{abs}}$  of **BPh<sub>2</sub>(q)**, **BNN<sub>1</sub>O**, **BNO1a**, **BNO1b**, **BNO2a**, and **BNO2b** is red-shifted, which is in accord with the decrease in the HOMO-LUMO gaps. In addition, the influence of solvent  $\text{CH}_2\text{Cl}_2$  on the absorption wavelengths of **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O** is simulated at the B3LYP/6-31G(d) level using

the PCM, which is compared with those obtained from the gas phase. It can be seen that the coefficient and configurations have no change, but the absorption wavelengths have shifted (18.9 and 30.8 nm) and the oscillator strengths have increased (2.19 and 3.67%) in  $\text{CH}_2\text{Cl}_2$  than that in the gas phase. More than that, the calculated absorption spectra of **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O** in  $\text{CH}_2\text{Cl}_2$  are in accordance with the experimental values, which are fitted by Gaussian type absorption curves and shown in Fig. 3. The shape of the calculated absorption spectra of **BNN<sub>1</sub>O** in  $\text{CH}_2\text{Cl}_2$  is the same as the spectra obtained by UV-vis absorption spectroscopy [5]. It indicates that our adopted basis set and functional are accurately reflected the optical and electronic properties.

As shown in Table 5, the calculated emission spectra of **BPh<sub>2</sub>(q)** and **BNN<sub>1</sub>O** in  $\text{CH}_2\text{Cl}_2$  are in good agreement with the experimental values [5, 8]. The emission spectra in series **1**, **BNSa**, and **BNS** are red-shifted compared to that of series **2**, **BNSb** and **BNO**, respectively. Series **a** with larger oscillator strengths shows better fluorescence properties than that of series **b** due to the better syn-planar conformation, except **BNO2a**. These results are almost consistent with the ground-state geometries and the energy gap discussion above. Nevertheless, the vibrational relaxation is suppressed by enhanced the B–N chelating ability, series **BNS** has better fluorescence properties compared with series **BNO**. In addition, the B atom with the N and O

**Table 5** Emission spectra obtained by TD-DFT method for these molecules at the CIS/6-31G(d) optimized geometries

	Electronic transitions	$\lambda^{\text{em}}$ (nm)	$f$	Excitation energies (eV)	Main configurations	
<b>BPh<sub>2</sub>(q)</b>	$S_1 \rightarrow S_0$	528.4/496 <sup>a</sup>	0.0532	2.35	HOMO $\rightarrow$ LUMO	0.64
	$S_1 \rightarrow S_0$	505.8 <sup>b</sup>	0.0722	2.45	HOMO $\rightarrow$ LUMO	0.65
<b>BNN<sub>1</sub>O</b>	$S_1 \rightarrow S_0$	533.9/504 <sup>a</sup>	0.0748	2.32	HOMO $\rightarrow$ LUMO	0.64
	$S_1 \rightarrow S_0$	502.1 <sup>b</sup>	0.1091	2.47	HOMO $\rightarrow$ LUMO	0.65
<b>BNO1a</b>	$S_1 \rightarrow S_0$	564.6	0.0139	2.20	HOMO-1 $\rightarrow$ LUMO	0.69
<b>BNO1a</b>	$S_1 \rightarrow S_0$	553.9	0.0036	2.24	HOMO $\rightarrow$ LUMO	0.69
					HOMO-1 $\rightarrow$ LUMO	-0.13
<b>BNN<sub>1</sub>1a</b>	$S_1 \rightarrow S_0$	612.0	0.0511	2.03	HOMO $\rightarrow$ LUMO	0.64
<b>BNO1b</b>	$S_1 \rightarrow S_0$	568.8	0.0025	2.18	HOMO $\rightarrow$ LUMO	0.70
<b>BNO2a</b>	$S_1 \rightarrow S_0$	519.8	0.0001	2.39	HOMO $\rightarrow$ LUMO	0.70
<b>BNO2'a</b>	$S_1 \rightarrow S_0$	523.2	0.0001	2.37	HOMO $\rightarrow$ LUMO	0.70
<b>BNO2b</b>	$S_1 \rightarrow S_0$	551.7	0.0030	2.25	HOMO $\rightarrow$ LUMO	0.70
<b>BNS1a</b>	$S_1 \rightarrow S_0$	674.8	0.0421	1.84	HOMO $\rightarrow$ LUMO	0.67
<b>BNS1b</b>	$S_1 \rightarrow S_0$	666.6	0.0153	1.86	HOMO $\rightarrow$ LUMO	0.69
<b>BNS2a</b>	$S_1 \rightarrow S_0$	638.5	0.0665	1.94	HOMO $\rightarrow$ LUMO	0.69
					HOMO-1 $\rightarrow$ LUMO	0.14
<b>BNS2b</b>	$S_1 \rightarrow S_0$	599.7	0.0254	2.07	HOMO $\rightarrow$ LUMO	0.66
					HOMO-1 $\rightarrow$ LUMO	0.15

<sup>a</sup> Measured in  $\text{CH}_2\text{Cl}_2$  [5, 8]

<sup>b</sup> Calculated in  $\text{CH}_2\text{Cl}_2$  by PCM at the B3LYP/6-31G(d) level



atoms to form a six-membered chelate ring in series **b** has longer wavelengths than that of series **a**. In contrast, the boron compounds in series **a** chelated with the N and S atoms exhibited emission at longer wavelengths compared to that of series **b**. As the conjugation length of  $R_2$  became larger, the more obvious variations occur in emission spectra. Based on the calculated emission spectra from 519.8 to 674.8 nm, we predict that these compounds could be used as green, yellow, orange and red light-emitting materials. In brief, their optoelectronic properties can be tuned by structural modifications in different positions with different substitutes.

#### 4 Conclusions

In this study, the ground-state and excited-state properties of 13 compounds have been investigated using DFT, TDDFT and CIS methods, respectively. Ten of them have been predicted with multifunction. The better equilibrium property mainly depends on the conjugated length of side chain in position  $R_2$ . The  $\lambda_{\text{hole}}$  of these compounds depends not only on the size of the coplanar conjugated conformation but also on the nature of position X. The  $\lambda_{\text{hole}}$  of **BNO1a** is 0.04 eV lower than that of **BNO1'a**, due to the same substitution in different position, which can slightly change the hole-transfer rate. The absorption wavelengths in **BPh<sub>2</sub>(q)**, **BNN<sub>1</sub>O**, **BNO1a**, **BNO1b**, **BNO2a**, and **BNO2b** exhibit gradual red-shifts with the HOMO-LUMO gaps decreasing. The emission wavelengths of these compounds are located in green, yellow, orange and red range, implying that they can be used as green, yellow, orange and red light-emitting materials.

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